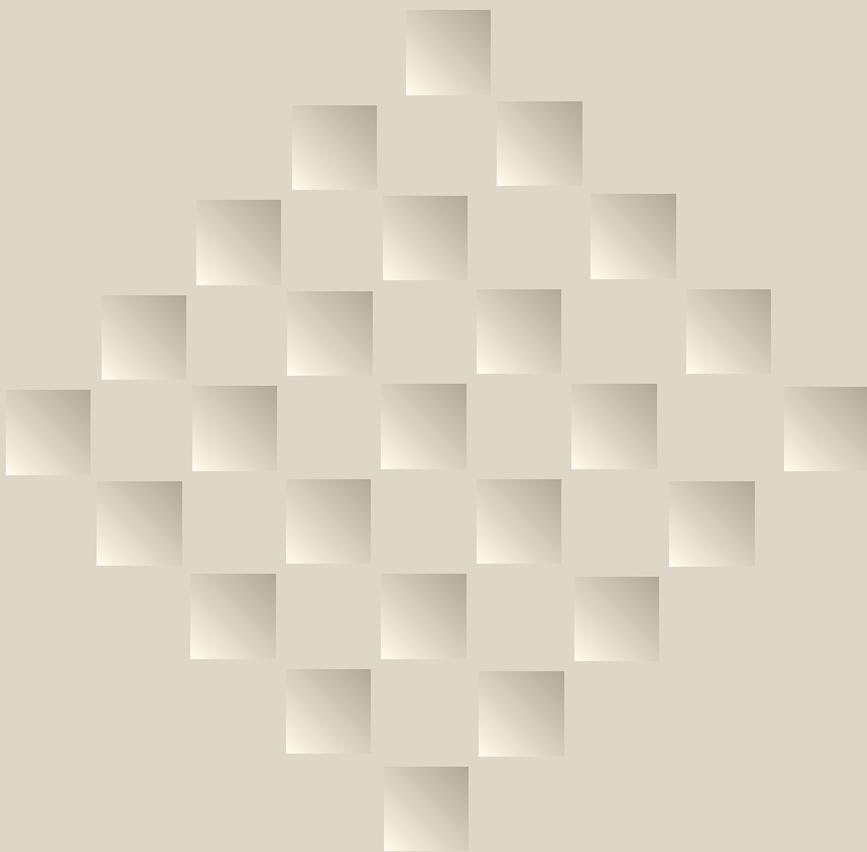




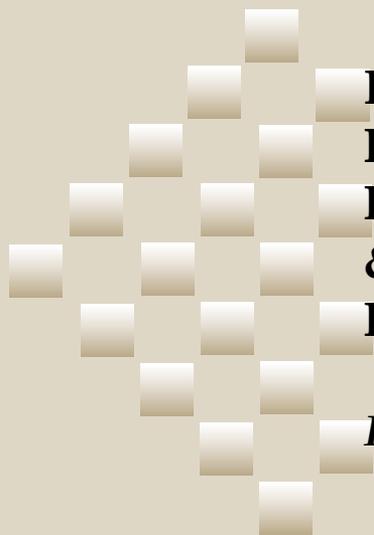
By- Laws
INTELLECTUAL
PROPERTY RIGHTS,
PATENTS *and*
AUTHORSHIP RIGHTS *at*
KUWAIT UNIVERSITY

Office of the Vice President for Research
Kuwait University

2 0 0 9



2009



**INTELLECTUAL
PROPERTY
RIGHTS
&
PATENTS**

POLICIES & RULES

Patents Office
Office of the Vice President
for Research

Kuwait University

PATENTS OFFICE

April 2009

Office of the Vice President for Research

Kuwait University

P.O. Box 5969, 13060 Safat - KUWAIT

Tel: (965) 2498-5264

Fax: 00965 2483 4944

Email: ovpr.patent@ku.edu.kw

Web: <http://www.ovpr.kuniv.edu/research>

Prepared by

Patents Office

Production

Technical Information & Publications Office

Office of the Vice President for Research

© Kuwait University, 2009

University of Kuwait holds exclusive rights to this publication, no part of which may be reproduced without the prior written consent of Office of the Vice President for Research, University of Kuwait.

CONTENTS
INTELLECTUAL PROPERTY &
PATENTS at KUWAIT UNIVERSITY

■ Intellectual Property Rights at Kuwait University3

PART ONE

■ Intellectual Property Rights - By-Laws5

■ Chapter I - Patents6

● Specialized Sector Supervising Procedures for Patent Registration ..7

● Inventors Obligations9

● Patent Registration Procedures10

● Patent Registration Expenditure11

● Obligations of Researchers Specialized in Examining Patents ..11

● Inventors Rights.....12

● Inventor Patents Property14

■ Chapter II - Authorship Rights16

■ Chapter III - Other Intellectual Proprietary Rights16

■ Chapter IV - General Rules17

PART TWO

■ Patents Office at the Office of the Vice President for Research..18

● Objectives.....18

● Mission and Goals.....19

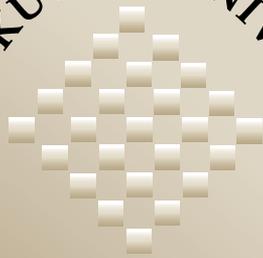
● Procedures.....20

● Patent Registration Application Information21

.....
Patent Application 23

US Patent Application..... 30

INTELLECTUAL PROPERTY RIGHTS AND
PATENTS REGISTRATION
OFFICE OF THE VICE PRESIDENT FOR RESEARCH
KUWAIT UNIVERSITY



Intellectual Property Rights at Kuwait University

Introduction

It is 43 years since the establishment of Kuwait University, and the historic pursuit of scientific programs and research activity has resulted in several studies and serious scientific accomplishments, leading to innovations and significant applications that need protection. This has been a consistent demand of faculty members all this time, to preserve their intellectual rights, and Kuwait University's rights.

This compelling need provided the legitimate basis for Kuwait University to address this important issue of establishing the **Office of Intellectual Property Rights**, to develop the framework, policy and legal procedures for providing legal protection to inventions/discoveries achieved by the faculty research staff, students or employees. Consequently, on April 30, 2005, Kuwait University President issued a Decree No. 886, establishing the Office of Patent Registration, at Kuwait University. The importance of establishing such an Office is stated below:

- Facilitating processing of patents achieved by Kuwait University faculty members, and providing requisite legal protection.
- Participating in supporting developmental plans to enhance the industrial activity in the State of Kuwait by providing information as regards local and international inventions, for the concerned sectors.

PATENTS OFFICE

- Working towards investing the patents achieved by Kuwait University researchers, that have financial and moral benefits for Kuwait University.
- Participating in disseminating cultural awareness in the field of Patents and Intellectual Property Rights, in general, through conferences, symposia, seminars and training courses in the field, in addition to preparing research publications and distributing booklets, manuals and information guides concerning Intellectual Property Rights.
- Providing opinion and consultations to inventors and others as regards Patents and Intellectual Property Rights.

PART ONE
BY-LAWS OF
INTELLECTUAL PROPERTY RIGHTS
AT KUWAIT UNIVERSITY

POLICIES AND RULES

One of the major goals of the Research Administration, Kuwait University, is to support and develop institutional research activity through the application of advanced technologies to benefit the university and society through the process of scientific advancement in diverse fields and specializations. To sustain this process, Kuwait University's policies and objectives are clearly directed at offering quality of services to researchers, and encouraging them through various mechanisms to support and enhance their research activities, for disseminating knowledge by supporting their research, books and publications, registering patents and establishing the basis for investing them commercially and industrially. Accordingly, requisite rules, regulations and procedures have been evolved to register and record inventions and discoveries of Kuwait University Faculty research community, whether staff members, students or others, which can be invested commercially and industrially, as well as evolving intellectual rights of authorship for writers of publications and books that Kuwait University prints, outlining their specific functions and responsibilities. These rules aim at enhancing a close coordination between Kuwait University and the researchers as regards the Intellectual Property Rights and Patent Registration, through explicit policies and rules

governing registration of inventions or discoveries achieved at Kuwait University, including permission for their use, while protecting the respective rights of both – Kuwait University and the inventor. In addition, the intellectual rights of authors and writers have also been evolved, specifying their functions and responsibilities within the framework of rules and regulations for organizing the authorship rights.

CHAPTER 1

PATENTS

Article I

What are Patents?

The official certificate granted by specialized sectors to innovative discoveries that are new and can be invested industrially, and conditions of their acceptance and registration by these sectors.

In this regard, regulations and rules of Law No. 4, year 1962, should be considered concerning patents and fees and industrial models – reformed by the Law No. 3, year 2001.

Article II

University Patents Property

All inventions, resulting directly or indirectly from a research project, accomplished by a faculty member or a student during the period of their stay at Kuwait University, would automatically belong to Kuwait

University. In all such cases, Kuwait University is the sole owner of the patent, authorized to invest the invention commercially, in whichever way.

The evidence of Kuwait University Patent property as regards the invention is based on the researcher's application for registering the invention within a year of his/her ending the relation with Kuwait University, without any violation of the terms of Article No. (15) of this document. In such an event, Kuwait University reserves the right of accepting or rejecting the patent's registration, regardless of the practical feasibility of registration.

Article III

Inventor Reward

In case of acquiring ownership of invention, Kuwait University must reward the inventor, compensating him/her with a fair compensation, as per specified rules and regulations outlined in Articles (12) and (13) of this document.

SPECIALIZED SECTOR SUPERVISING PATENT REGISTRATION

Article IV

Office of Patent Registration

For organizing the process of Patent Registration at Kuwait University, a dedicated Office of Patent Registration has been established at the Research Administration.

PATENTS OFFICE

This Office would be an integral part of the Office of the Vice President for Research, responsible for overseeing the entire activities, legalities, administrative functions and procedures concerning the inventions and discoveries achieved at Kuwait University.* The Office would be mandated to:

- a. Set rules and develop a system as regards the inventions of faculty members and students that are received by the Research Administration for requisite processing.
- b. Study and evaluate inventions, prepare reports concerning Patent Registration process, as applicable to faculty members and students inventions, and taking decisions whether or not to register the invention. The Office also determines the registration place, on the basis of the progress in the registration process, the accruing benefits from the invention, commercially as well as industrially, based on Kuwait University policy and procedures.
- c. Implement requisite procedures to get the necessary permission for patent use from specialized sectors.
- d. Develop procedures governing the permission for patent use.
- e. Evolve mechanism for distribution of income generated from patent use between the concerned parties – the inventor and Kuwait University, as per the system developed for this purpose.
- f. Offer special patent registration services to Kuwait University faculty members, students and employees, who achieve discoveries and inventions.

* This office was established vide Decree No. 886, dated April 30, 2005.

PATENTS OFFICE

- g. Present patent regulations reports to Kuwait University, inventors and concerned sectors.
- h. Implement patent registration procedures, as requested by concerned sectors.
- i. Initiate consultation and training activities as regards Patent Registration and Intellectual Property Rights, including symposia, conferences, training courses and studies, and attend to queries both within and outside Kuwait University.
- j. Present university Patents to specialized sectors to update and develop the invention if it is capable of updating and developing, after taking the permission of the Patent owner.
- k. Undertake all needed legal and organizational procedures to protect intellectual property rights of Kuwait University and all belonging to it.

INVENTORS OBLIGATIONS

Article V

Obligation to Inform

Any faculty member, student or employee of Kuwait University, who achieves any discovery or invention associated with his/her work at Kuwait University, and considers it worthy of registration or commercial investment, should inform the Office of Patent Registration, Kuwait University, as regards his/her invention.

Article VI *Obligation to Secrecy*

All those belong to Kuwait University must not violate any KU rights in the field of patents and intellectual rights in general, they must maintain secrecy of inventions, and must not reveal anything, unless within permissible limits, and in the field of scientific publication of research.

PATENT REGISTRATION PROCEDURES

Article VII

The Office of Patent Registration must do intense research concerning the Patent Registration Applications that it receives, to ensure conceptual originality, and whether the invention merits registration, so as to reach an objective decision as to whether an invention is worth Kuwait University's legal protection, concerning the right of invention. The office has the right to ensure these procedural activities, overseeing all factors that resulted in invention.

- In deciding whether the invention is worth registering or not, the office may seek help of technical and specialized scientific committees, formed by the Vice President for Research, depending on the Office's suggestion.
- The Office should issue its decision (approval or rejection) within 30 days of the date of applying to register patent.

PATENTS OFFICE

- If the invention proves worthy of registration, the Office applies for registration of invention to the specialized sector, through Kuwait University, under the aegis of its name.
- In all cases, the Office informs the inventor of either accepting the patent registration or rejecting it.

PATENT REGISTRATION EXPENDITURE

Article VIII

Kuwait University takes care of Patent Registration expenses, including the cost of using it commercially, in case it reserves its right to patent ownership. In this case, all terms of agreement between the Office of Patent, Kuwait University, or its representative, and the concerned external sector for the acquisition of the commercial license, would be binding on the parties concerned as regards the use of invention, among other things.

OBLIGATIONS OF RESEARCHERS SPECIALIZED IN EXAMINING PATENTS

Article IX

The researchers associated with the Office of Patent, Kuwait University, must maintain strict secrecy about the invention while reviewing the patent registration applications, received by the Patent Office. They must refrain from announcing or revealing it commercially, or to publish, or present it for publishing, until the registration date of the application with the concerned specialized sector, and after obtaining a written permission from the Office of Patent Registration.

Article X

If any invention is announced or presented commercially by mistake or through procedural mechanism while considering possibility of registering it, or using it commercially, the Office of Patent Registration, Kuwait University, would initiate immediate and necessary action in all such cases.

In the event that a deliberate delay or negligence is discovered concerning any invention, the inventor has the right to inform the Office of the Vice President for Research for necessary action in the light of the facts presented.

INVENTORS RIGHTS

Article XI

The Moral Right of the Inventor

Kuwait University's entitlement to an invention's property rights in no way affects the literary rights of the inventor. He/she has the right to mention his/her name in the patent, and to announce that the invention belongs to him/her. The inventor has the right for all literary rights concerning the invention.

Article XII

Rewarding the Inventor Financially

Kuwait University is obliged to give the inventor an appropriate fi-

nancial reward in recognition of his/her efforts, as soon as the patent registration procedures are finalized, and the patent is acquired. This award is specified by the Office of Patent Registration at Kuwait University and should not exceed KD. 7,500, and a decision of the President of Kuwait University should be issued concerning the reward depending on a presentation of the Vice President for Research. In case an invention has more than one inventor, the award is equally distributed among them, unless there exists a prior agreement between them as regards the ratio of what each should get. In case several researchers at Kuwait University achieve the same invention independently, without any connectivity between them, the right of invention would go to the researcher applying first to Kuwait University.

Article XIII

Distributing the Financial Income of the Invention

The financial income generated from using the invention is distributed between Kuwait University and the inventor based on the following principle:

- First: Kuwait University is entitled to all expenses incurred in registering the patent, and its protection.
- Second: The inventor gets the remaining amount, not exceeding KD. 5000/-. In case there are more than one inventors, the amount is equally distributed between them, unless there exists an agreement between them governing the ratio of distribution among them.

Third: The additional income is distributed as follows:

- 75% of the income to the Inventor
- 25% of the income to Kuwait University

INVENTOR PATENTS PROPERTY

Article XIV

The University's Cessation of its Patent Rights

As an exception to above regulations, if the Office of Patent Registration, Kuwait University, decides not to continue registering the patents, it will be considered as cessation of Kuwait University patent rights, then the inventor's property, with all its financial and literary rights, would revert back to the inventor, who would then have the right to register his/her patent without recourse to Kuwait University, and without using the institutional resources. In all such cases, Kuwait University would have no responsibility as regards patent registration or to use the invention commercially, in the light of the existing relations between Kuwait University and the inventor concerning any special agreements.

These rules, however, would not prevent Kuwait University from acquiring the invention rights, or the right to its use, if it considers these to be beneficial, even if the inventor, whether a faculty member, a student or an employee, has achieved the invention on his/her own effort, at a fair price to the inventor. The price should be specified by a

decision of Kuwait University President, depending on a presentation of the Vice President for Research, highlighting the scientific importance of invention, and its expected financial income.

In this case, Kuwait University should apply within three months of the inventor's application for patent registration to the inventor and the specialized sector in the State, for patent registration of his/her invention.

If the two parties disagree on the price of invention, or the right of using it, the matter would be subject to specialized legislation for requisite decision.

Article XV

No Linkage between the Inventor and Kuwait University

The patent property and associated rights would belong to the inventor in following cases:

- a. If the faculty member, student or employee, achieves his/her invention prior to joining Kuwait University.
- b. If Kuwait University and the inventor has severed all relations between them, and the inventor achieves his/her invention in his/her own time, without using official time for his/her invention, and Kuwait University does not offer him/her any financial or material support and facilities.
- c. If the invention is not the result of any mission assigned to the faculty member, student, or employee at Kuwait University.

CHAPTER II

AUTHORSHIP RIGHTS

Article XVI

Authoring and publishing research through the Publication Council, whether through the Committee of Authorship, Arabization & Publication, or through scientific journals of Publication Council, would be subjected to rules and regulations applicable to scientific journals of the Authorship, Arabization & Publication Committee, based on the decision governed by requisite laws and special regulations, organizing the author's rights.

CHAPTER III

OTHER INTELLECTUAL PROPRIETARY RIGHTS

Article XVII

Other Intellectual Proprietary Rights of materials, audio-visual aids, integrated circles, engineering designs, lectures, utility models, etc., of intellectual proprietary applications, enjoy requisite protection according to implemented rules, especially Law No. 64, year 1999, concerning Intellectual Proprietary Rights, and Law No. 4, year 1962, concerning patents and its reformed laws, and considering laws of international agreements to which Kuwait is a signatory, especially the agreement concerning commercial aspect of intellectual proprietary rights TRIPS, as established by the WTO.

CHAPTER IV
GENERAL RULES

Article XVIII

These rules come into effect on the date of the issue, and would apply to all inventions, whether under study, in the process of planning, or negotiation as to the terms of agreement between Kuwait University and the funding institutions.

As an exception to previous articles registering Kuwait University patents through the office is optional not compulsory, for inventions resulting from unfunded research, and those not sponsored by Kuwait University.

Article XIX

These rules must be reviewed periodically, once every five years, or based on recommendation of the Office of the Patents Registration at Kuwait University, or in the light of any new or emerging circumstances.

Article XX

The Vice President for Research issues decisions as regards the implementation of these By-laws, and initiates necessary organizational and administrative procedures for implementing the articles.

PART TWO
OFFICE OF PATENT REGISTRATION
AT THE RESEARCH ADMINISTRATION

Office of the Vice President for Research
Kuwait University
Establishing the Office of Patent Registration

OBJECTIVES

- Providing legal protection to discoveries and inventions achieved by researchers at Kuwait University.
- Supporting developmental plans of industrial activity in the State of Kuwait through providing information on local and international inventions for governmental and private sectors.
- Encouraging researchers at Kuwait University to discover and innovate, and to participate in supporting industrial and economic development in the State of Kuwait.
- Cooperating with other offices of Patent Registration, regionally and internationally, in the field of Patent Registration and Intellectual Property Rights.
- Encouraging investment of Patent Registration, leading to developing the level of industrial technology.

PATENTS OFFICE

MISSIONS AND GOALS

- Undertaking the registration and documentation procedures for inventions achieved by researchers at Kuwait University.
- Providing legal protection for Patent Registration in the University, and protecting the researchers rights depending on their inventions, and initiating all legal procedures in this regard.
- Offering services and information concerning Patent Registration.
- Implementing cooperation program with organizations and institutions, regionally and internationally, as well as research centers in the field of Patent Registration and Intellectual Property Rights.
- Investing University Patent Registration in the local market.
- Marketing information of Patent Registration in the industrial and economic sectors in the State of Kuwait.
- Holding conferences and scientific symposia concerning Patent Registration and Intellectual Property Rights, in general.
- Preparing research and publishing manuals and information guides on Patent Registration and Intellectual Property Rights.
- Preparing instruction manuals and guides concerning procedures of Patent Registration within and outside.
- Saving and organizing applications and records of Patent Registration.
- Giving opinions and consultations concerning Patent Registration.
- Studying Patent Registration applications, in the light of the subject and its applicability, and taking requisite decision as regards its registration.

PATENTS OFFICE

- Offering legal consultation to inventors concerning Patent Registration, and its investment.
- Preparing requisite databases concerning Patent Registration and Intellectual Patent Registration.

PROCEDURES

1. The Office of Patent Registration initiates all needed procedures as regards Patent Registration of inventions, achieved by researchers at Kuwait University, in conformity to following legal conditions of registration:
 - Invention should be innovative.
 - Invention should be new.
 - Invention should have industrial investment potential.
2. The Patent Registration application should be presented by the inventor himself/herself, or through the Office of the Vice President for Research.
3. Patent Registration procedures implemented in the State of Kuwait, Department of Patent Registration, Administration of commercial registration, Ministry of Commerce & Industry, and in other countries, as specified according to the nature of the invention and the field of usage.
4. The Office of Patent Registration at Research Administration initiates procedures concerning primary testing, and form, prior to initiating requisite procedures for patent registration outside Kuwait.

The testing is done through specialized scientific committees constituted for the purpose, based on the decision of the Vice President for Research, and according to the type and nature of the invention.

PATENT REGISTRATION APPLICATION INFORMATION

The Patent Registration Application should include the following information:

- Full name of the Applicant
 - Nationality
 - Profession
 - Residence
 - Inventor's Name
 - Detailed description of the invention, and the way of using it to facilitate its implementation, clarifying new elements that need to be protected in a clear and explicit way.
 - A drawing of the invention according to its nature
 - A summarized description of the invention, duly supported by drawings, clarifying the subject.
 - Samples, if any.
5. To register the patent in Kuwait, all papers and documents of the application should be in Arabic language, if some of the documents are in English, an official translation should be presented.

PATENTS OFFICE

6. To register the patent outside Kuwait, all papers and documents should be in English language, and an Arabic version should be attached to be saved in the Patent Registration Office, Office of Vice President for Research.
7. Patent Registration will be documented locally in the Patent Registration Office, in a special record with following Record number:
 - Name of inventor
 - Number of application
 - Date and hour of receiving the application

The Inventor is given a receipt indicating the above mentioned information.

- A special file is dedicated to each application of patent registration.
8. Patent Registration outside Kuwait is done through Offices of Lawyers and specialized legal consultants in this field after being specified, and a contract made with them, concerning this matter.

PATENTS OFFICE



Patent Application



KUWAIT UNIVERSITY

Office of the Vice President for Research

PATENTS OFFICE

INVENTION DISCLOSURE RECORD

Number

Date of Submission.....

** Patents Office * Office of the Vice President for Research * Kuwait University*

P. O. Box 5969, Safat 13060

State of Kuwait

Tel: (965) 2498 5264 - (965) 2498 5297 Fax: (965) 2483 4944

<http://www.ovpr.kuniv.edu/research>

[e-mail: ovpr.patent@ku.edu.kw](mailto:ovpr.patent@ku.edu.kw)

PATENTS OFFICE

INVENTOR(S)

- 1. Name:** **Job Title:**
Division/Department
- 2. Name:** **Job Title:**
Division/Department
- 3. Name:** **Job Title:**
Division/Department

TITLE OF INVENTION

PROJECT

OBJECT OF INVENTION(*Problem solved by the invention*)

SOLUTION

PATENTS OFFICE

DESCRIPTION OF INVENTION

PRIOR ART

What is the general common knowledge in the industry as to the subject matter of the invention?

Prior Related Patents

Prior Publications

PATENTS OFFICE

PRIOR ART (Contd.)

Prior Public Uses and/or Sales

Prior work done by

In what respects is the Invention Different from the Prior Art mentioned earlier?

Advantages of the Invention over Prior Art (Be specific, avoid generalizations)

Disadvantages of the Invention, if any, compared to Prior Art.

PATENTS OFFICE

PROOF OF INVENTION

(Answer the following questions as fully as possible. Attach copies of all writings, sketches, drawings, test data, etc., relating to the invention.)

Date of first conception:

Date first disclosed to others:

People to whom disclosure was made:

Date of first sketch or drawing:

Location of original sketch or drawing:

Date of first written description:

Location of first written description:

Date of ordering first engineering drawings:

Date of completion of first engineering drawings:

Drawing number of first engineering drawings:

Date of completion device or sample of material obtained in accordance with first engineering drawings:

Date of first test of device, process or material:

Description of how such test was made:

PATENTS OFFICE

Was the test successful? Yes No

Witness(es) of the operation of the device or process besides inventor:

Location of original device or sample:

Has invention gone into production, use or sale: Yes No

If not, what are present prospects?

SIGNATURE(S)

1. **Inventor Name:** **Signature:** **Date:**

2. **Inventor Name:** **Signature:** **Date:**

3. **Inventor Name:** **Signature:** **Date:**

EXPLAINED TO AND UNDERSTOOD BY US, THE WITNESSES:

1. **Name** **Signature:** **Date:**

2. **Name:** **Signature:** **Date:**

U S Patent Application

PATENTS OFFICE

PTO/SB/14 (07-07)

Approved for use through 06/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	
	Application Number	
Title of Invention		
<small>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</small>		

Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Applicant Information:

Applicant 1					Remove
Applicant Authority		<input checked="" type="radio"/> Inventor	<input type="radio"/> Legal Representative under 35 U.S.C. 117	<input type="radio"/> Party of Interest under 35 U.S.C. 118	
Prefix	Given Name	Middle Name	Family Name	Suffix	
Residence Information (Select One)		<input checked="" type="radio"/> US Residency <input type="radio"/> Non US Residency <input type="radio"/> Active US Military Service			
City	State/Province	Country of Residence			
Citizenship under 37 CFR 1.41(b)					
Mailing Address of Applicant:					
Address 1					
Address 2					
City	State/Province				
Postal Code	Country				
All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button.					Add

Correspondence Information:

Enter either Customer Number or complete the Correspondence Information section below.
For further information see 37 CFR 1.33(a).

An Address is being provided for the correspondence Information of this application.

Customer Number			
Email Address		Add Email	Remove Email

Application Information:

Title of the Invention			
Attorney Docket Number		Small Entity Status Claimed	<input type="checkbox"/>
Application Type			
Subject Matter			
Suggested Class (if any)		Sub Class (if any)	
Suggested Technology Center (if any)			
Total Number of Drawing Sheets (if any)		Suggested Figure for Publication (if any)	

PATENTS OFFICE

PTO/SB/14 (07-07)

Approved for use through 06/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	
	Application Number	
Title of Invention		

Publication Information:

<input type="checkbox"/> Request Early Publication (Fee required at time of Request 37 CFR 1.219)
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Enter either Customer Number or complete the Representative Name section below. If both sections are completed the Customer Number will be used for the Representative Information during processing.

Please Select One:	<input checked="" type="radio"/> Customer Number	<input type="radio"/> US Patent Practitioner	<input type="radio"/> Limited Recognition (37 CFR 11.9)
Customer Number			

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status		Remove
Application Number	Continuity Type	Prior Application Number Filing Date (YYYY-MM-DD)
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button. Add		

Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

Remove			
Application Number	Country	Parent Filing Date (YYYY-MM-DD)	Priority Claimed
			<input checked="" type="radio"/> Yes <input type="radio"/> No
Additional Foreign Priority Data may be generated within this form by selecting the Add button. Add			

Assignee Information:

Providing this information in the application data sheet does not substitute for compliance with any requirement of part 3 of Title 37 of the CFR to have an assignment recorded in the Office.

Assignee 1	Remove
------------	------------------------

PATENTS OFFICE

PTO/SB/14 (07-07)

Approved for use through 06/30/2010. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76	Attorney Docket Number	
	Application Number	
Title of Invention		

If the Assignee is an Organization check here. <input type="checkbox"/>				
Prefix	Given Name	Middle Name	Family Name	Suffix
Mailing Address Information:				
Address 1				
Address 2				
City		State/Province		
Country			Postal Code	
Phone Number			Fax Number	
Email Address				
Additional Assignee Data may be generated within this form by selecting the Add button.				<input type="button" value="Add"/>

Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	
First Name	Last Name	Registration Number	

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

PATENTS OFFICE

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.